**HKQAA Hong Kong Green and Sustainable Finance Awards 2023**

*Facilitate Sustainable Finance Development, Promote Climate Risk & ESG Disclosure*

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| Part A – Application Form |

**Attention: Hong Kong Quality Assurance Agency – Corporate Communications Unit**

**Email:** **hkqaa.mkt@hkqaa.org**

 **Please tick the appropriate boxes Submission Deadline: 19 October 2023**

**Section A – Categories of the Awards**

*（Please fill in the information and tick the boxes if applicable.）*

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| **(A) Green and Sustainable Bond, Loan and Other Financial Instruments/ Services***(Please choose one of the following categories of the Awards, fill in the sector and theme of the bond/ loan, and delete whichever is in the appropriate.)* |

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| **HKD 38,000\****(\*Waived for charitable organisations* *and government sectors in Hong Kong)*[*(Please complete and submit Part B – Declaration Form on or before 31 October 2023)*](https://www.hkqaa.org/cmsimg/Symposium2023/GreenFinanceAward2023/EN_DeclarationB.docx)***Awardees will obtain:**** *Organisation Trophy*
* *Attending the Awards Ceremony\*\**
* *Media Coverage*
* *Featured Section in the Post-event Commemorative Booklet*
* *50 printed copies of the Post-event Booklet*
* *Sharing on online platform or publication*
* *Online training*

*\*\*Health and safety of our guests, partners and staff are always our top priority. In case of an epidemic outbreak, HKQAA would take stringent precautionary measures to maintain the level of health and hygiene, in line with HKSAR Government’s policy. To minimize the spread of infectious diseases, please note that the event format may change from in-person to hybrid / virtual or others.**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_****Remarks****¹ Please fill in the green and sustainable theme of the bond/ loan/ other financial instrument, e.g. “Green”, “Social”, “Sustainability”, “Green & Climate Transition”, “Green & Climate Resilience”, “Sustainability-linked”, “Sustainability-linked & Climate Transition”, “Sustainability-linked & Climate Resilience”, “Blue”, “Epidemic Prevention”, etc.**² Please fill in other financial instrument/ service categories, e.g. insurance, funds, etc.*  |

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| **For Bond/ Loan Issuers**

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| 1. **Outstanding Award for Green and Sustainable Bond Issuer**

 **(Sector:**       **)**[ ]  Largest Single      ¹ Bond[ ]  Largest Amount of      ¹ Bonds[ ]  Visionary      ¹ Bond Framework/ or Bond Performance Metrics  |
| 1. **Outstanding Award for Green and Sustainable Loan Issuer (in the sector)**

[ ]  Largest Single      ¹ Loan[ ]  Largest Amount of      ¹ Loans[ ]  Visionary      ¹ Loan Framework/ or Loan Performance Metrics  |

**For Banks/ Financial Service Providers**

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| 1. **Outstanding Award for Green and Sustainable Bond Lead Manager (Sector:**      **)**

[ ]  Largest Single      ¹ Bond[ ]  Largest Amount of      ¹ Bonds[ ]  Visionary      ¹ Bond Framework[ ]  Visionary      ¹ Bond Performance Metrics |
| 1. **Outstanding Award for Green and Sustainable Bond Facilitator**

**(Sector:**      **)**[ ]  Visionary      ¹ Bond Framework[ ]  Visionary      ¹ Bond Performance Metrics |
| 1. **Outstanding Award for Green and Sustainable Loan Structuring Advisor**

**(Sector:**      **)**[ ]  Visionary      ¹ Loan Framework[ ]  Visionary      ¹ Loan Performance Metrics |
| 1. **Outstanding Award for Green and Sustainable Loan Facilitator**

**(Sector:**      **)**[ ]  Largest Single      ¹ Loan[ ]  Largest Amount of      ¹ Loans[ ]  Greatest Number of      ¹ Loans[ ]  Greatest Number of      ¹ Loan e-Assessment[ ]  Visionary      ¹ Loan Framework[ ]  Visionary      ¹ Loan Performance Metrics |
| 1. **Outstanding Award for Green and Sustainable Deposit Facilitator**

**(Sector:**      **)**[ ]  Largest      ¹ Deposit[ ]  Visionary      ¹ Deposit Service |
| 1. **Outstanding Award for Green and Sustainable Guarantee Facilitator**

**(Sector:**      **)**[ ]  Largest Single      ¹ Guarantee[ ]  Largest Amount of      ¹ Guarantees[ ]  Visionary      ¹ Guarantee Service |
| 1. **Pioneering Organisation in Green and Sustainable**

**(**      ²**) Service (Sector:**      **)**[ ]  Visionary      ¹      ² Framework[ ]  Visionary      ¹      ² Performance Metrics[ ]  Visionary      ¹      ² Operation Policy |

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| **(B) Net-Zero Contribution** *(Please choose one of the following categories of the Awards, fill in the category/ program/ activity, and delete whichever is in the appropriate.)* |

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| **HKD 38,000\****(\*Waived for charitable organisations* *and government sectors in Hong Kong)*[*(Please complete and submit Part C – Declaration Form on or before 31 October 2023)*](https://www.hkqaa.org/cmsimg/Symposium2023/GreenFinanceAward2023/EN_DeclarationC.docx)***Awardees will obtain:**** *Organisation Trophy*
* *Attending the Awards Ceremony\*\**
* *Media Coverage*
* *Featured Section in the Post-event Commemorative Booklet*
* *50 printed copies of the Post-event Booklet*
* *Sharing on online platform or publication*
* *Online training*

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| **For Different Kinds of Organisation***(Please refer to the Terms and Conditions of this form for the eligibility requirement)***Management Awards for Net-Zero Contribution** **(Sector/ Project/ Event:**      **）**

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| (Please choose one of the following categories.)***(Applicable to*** ***Achievement of Net-Zero Certificate)*** | ***(Applicable to*** ***Commitment to Net-Zero Certificate)*** |
| [ ]  **- Achievement of Visionary Net-Zero  Strategies** | [ ]  **-** **Visionary Blueprint towards Net-Zero** |
| ***(Applicable to Achievement of Carbon Peak Certificate)*** | ***(Applicable to*** ***Disclosure of Carbon Emission Certificate)*** |
| [ ]  **-** **Achievement of Visionary Carbon Peak Strategies** | [ ]  **-** **Showcase Carbon Disclosure  Performance** |

**Declaration** In the award category selected above, our organisation has already obtained the relevant document of certification, certificate, conformity assessment or recognition document of the initiative, and has been continuously taking relevant good practices and measures to make contribution, fulfilling the application conditions and requirements. (See Appendix II: Application Conditions & Requirements) |

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| **(C) Climate Disclosure** **and** **ESG Disclosure Contribution** *(Please choose one of the following categories of the Awards.)* |

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| **HKD 38,000***(\*Waived for charitable organisations* *and government sectors in Hong Kong)**(Please complete and submit* [*Part D*](https://www.hkqaa.org/cmsimg/Symposium2023/GreenFinanceAward2023/EN_DeclarationD.docx) *or* [*Part E*](https://www.hkqaa.org/cmsimg/Symposium2023/GreenFinanceAward2023/EN_DeclarationE.docx) *– Declaration Form on or before 31 October 2023)****Awardees will obtain:**** *Organisation Trophy*
* *Attending the Awards Ceremony\*\**
* *Media Coverage*
* *Featured Section in the Post-event Commemorative Booklet*
* *50 printed copies of the Post-event Booklet*
* *Sharing on online platform or publication*
* *Online training*

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| **For different Kinds of Organisations***(Please refer to the Terms and Conditions of this form for the eligibility requirement)*

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| [ ] **Pioneering Organisation in Climate Disclosure Planning**Our organisation is committed to enhancing climate risk management and disclosure planning with reference to international climate disclosure standards and the guidance and framework from local regulators. Although our organisation has not yet disclosed the relevant information and obtained the relevant document of assessment, verification or other conformity assessments, it has planned or is taking relevant good practices and measures to make contribution to fulfil the application conditions and requirements. (See Appendix II: Application Conditions & Requirements) |
| [ ] **Pioneering Award for Climate Disclosure Contribution**Our organisation is committed to enhancing climate risk management and disclosure of relevant information in accordance with international climate disclosure standards and the guidance and framework from local regulators; meanwhile, it has planned or applied for relevant assessment, verification or other conformity assessments to fulfil the application conditions and requirements. (See Appendix II: Application Conditions & Requirements) |
| [ ] **Outstanding Award for Climate Disclosure Contribution**Our organisation is committed to enhancing climate risk management and disclosure of relevant information in accordance with international climate disclosure standards and the guidance and framework from local regulators; meanwhile, it has already obtained the relevant document of assessment, verification or other conformity assessments to fulfil the application conditions and requirements. (See Appendix II: Application Conditions & Requirements) |
| [ ]  **Pioneering Organisation in ESG Disclosure Enhancement**Our organisation is committed to enhancing climate risk management and disclosure planning, as well as ESG disclosure planning, with reference to international climate disclosure standards and the guidance and framework from local regulators. Although our organisation has not yet disclosed the relevant information and obtained the relevant document of assessment, verification or other conformity assessments, it has planned or is taking relevant good practices and measures to make contribution to fulfil the application conditions and requirements. (See Appendix II: Application Conditions & Requirements) |
| [ ]  **Pioneering Award for ESG Disclosure Contribution**Our organisation is committed to enhancing climate risk management and disclosure of relevant information, as well as the ESG disclosure, in accordance with international climate disclosure standards and the guidance and framework from local regulators; meanwhile, it has planned or applied for relevant assessment, verification or other conformity assessments to fulfil the application conditions and requirements. (See Appendix II: Application Conditions & Requirements) |
| [ ]  **Outstanding Award for ESG Disclosure Contribution**Our organisation is committed to enhancing climate risk management and disclosure of relevant information, as well as the ESG disclosure, in accordance with international climate disclosure standards and the guidance and framework from local regulators; meanwhile, it has already obtained the relevant document of assessment, verification or other conformity assessments to fulfil the application conditions and requirements. (See Appendix II: Application Conditions & Requirements) |

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| **Total HKD** |        (Please fill in) |

**Section B – Applicant & Contact Information**

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| Organisation Name (English) | : |  |
| (Chinese) | : |  |
| Contact Person | : | ([ ]  Mr. / [ ]  Ms./ [ ]  Mrs.)       |
| Title | : |       |
| Address | : |       |
|  |  |       |
| Telephone No. | : |       | Email | : |       |

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| **Declaration**I, herein and hereinafter, on behalf of our organisation, confirm that all the data provided above is correct. I understand that Hong Kong Quality Assurance Agency (“HKQAA”) has the right to verify the authenticity of the data provided by the entrant. In the event of provision of false or incorrect data, the application will be disqualified. I understand, agree, and will comply with the terms and conditions in this form. I fully understand the purpose of personal data collection and consent to the use of the collected personal data in matters associated with the “HKQAA Hong Kong Green and Sustainable Finance Awards 2023” (“Awards”). I agree that the above information may be used by HKQAA for processing the application of the Awards, and for any other purposes as stated in the Privacy Policy Statement. I have fully read and understood the Privacy Policy Statement of HKQAA from its website ([www.hkqaa.org/cmsimg/privacy/statement.pdf](file://HKQAA-DOC3/DATA/HK-MKT/Corporate%20Communications%20Library/Special%20Project/New%20Product%20Launch/COVID-19/Award%202021/Form_for%20approval/www.hkqaa.org/cmsimg/privacy/statement.pdf)), and confirm that I have the consent of each individual's name in this form to release their personal data for the purposes stated herein.I declare that our organisation was involved in the issuance of green and sustainability-related financial instruments, which were issued, or still within the issuance period (if applicable), between 1 November 2022 and 31 October 2023; or launched related services during this period. Meanwhile, these financial instruments or related services obtained appropriate green and sustainable finance certification or independent second opinion document for green and sustainable financial instruments; obtained appropriate independent assessment report, verification report or statement for green and sustainability linked financial instruments; completed appropriate independent greenness assessment or e-assessment for green financial instruments, or completed other appropriate conformity assessments. If our organisation has not been involved in the issuance/ launch of green and sustainability-related financial instruments/ services in above period, I declare that our organisation has continuously made efforts and contributions to carbon neutrality, climate risk or ESG disclosure, etc.**Declaration of Commitment**We hereby declare to participate in specific exchange and training programs before 31 March, 2024 (Each application is required to fulfil below commitments once):  Commit to arranging **TWO** staff with related job duties to participate in a 15-minute free video training course via Lightshare, HKQAA’s  online think tank platform, on topics about sustainable development, ESG, Net-Zero or climate risks.[ ]  I do not wish to receive any further publicity information from HKQAA.

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| **Signature of the Responsible Person** |  |
| **Prefix** | **[ ]  Mr [ ]  Ms [ ]  Mrs** |
| **Name of Responsible Person** | **（English）** | **（Chinese）** |
| **Job Title** | **（English）** | **（Chinese）** |
| **Date** |  |

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| **Payment Method:*** All payment shall be paid **within 15 business days** upon the submission
* Please make cheque payable to “Hong Kong Quality Assurance Agency” with ***the company name of the applicant clearly stated at the back of the cheque***.
* **Mailing Address**: 19/F., K. Wah Centre, 191 Java Road, North Point, Hong Kong(Attention: Corporate Communications Unit, Hong Kong Green and Sustainable Finance Awards 2023)

**Important Note:** * Organisations shall submit “Part B/ C/ D/ E - Declaration Form”, supporting document files and the proof of deposit on or before **31 October 2023**
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**Enquiry and Application**

HKQAA Corporate Communications Unit　 Tel: 2202 9111 Fax: 2202 9295 Email: hkqaa.mkt@hkqaa.org

Address: 19/F., K. Wah Centre, 191 Java Road, North Point, Hong Kong

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| **Terms & Conditions** |

1. The participant (“Participant”) of “HKQAA Hong Kong Green and Sustainable Finance Awards 2023” (“Awards”) agrees that the information given, statements and supporting document files submitted are true, correct and complete. Upon submission, each participant must ensure that the information given are true and correct, also does not infringe on the rights of any third party. And, the participant must obtain all necessary authorization from third parties if required. Any participant who violates this term shall reimburse the Award (if applicable) and indemnify the organiser, the Hong Kong Quality Assurance Agency (“HKQAA”), for any damage or loss that may be suffered. HKQAA reserves the right to proceed legal action.
2. The Participant shall understand that HKQAA has the right to verify the information provided by the Participant. If the Participant is in connection with any fraud on submission of personal data, or false submission of personal data of others, its application will be disqualified.
3. The eligible entrant of the Awards should be issuers of green and sustainability related financial instruments issued or still within the issuance period (if applicable) between 1 November 2022 and 31 October 2023, as well as banks or financial service providers involved in the issuance/ launch of green and sustainability related financial instruments/ services during the above period, which obtained appropriate green and sustainable finance certification, independent second opinion document for green and sustainable financial instruments, or independent assessment report, verification report or statement for green and sustainability linked financial instruments; or completed appropriate independent greenness assessment or e-assessment for green financial instruments; or launched innovative green and sustainable financial products/ services and completed appropriate independent conformity assessment. Other issuers, listed companies, public service organisations, banks or financial service providers, which have not been involved in the issuance/ launch of green and sustainability-related financial instruments/ services during the above period, will be eligible for entry of the Awards only if they are planning, or were involved in the past, in issuing/ launching such financial instruments/ services, and have made efforts and contributions to carbon neutrality, climate risk or ESG disclosure. HKQAA reserves the ultimate right to make final and binding decisions on the eligibility of the Awards and all entrants.
4. If any provision of these terms and conditions is voidable, HKQAA reserves the right to disqualify entries without prior notice or warning.
5. HKQAA has the right to refuse organisations providing management system consultancy service to become participant.
6. The Participant must fulfill the application conditions and requirements (see Appendix II) and submit sufficient supplementary documents for reference; and obtain relevant document of certification, certificate, conformity assessment or recognition document (if applicable) in accordance with the award category applied, and state the related actions and achievements in the corresponding declaration form of the award applied. Participants who fail to complete the above application conditions and requirements will be disqualified. HKQAA reserves the ultimate right to make final and binding decisions on the eligibility of the Awards and all entrants.
7. The Participant agrees that the decision of HKQAA based on the results of the Award’s scoring and requirement-meeting mechanism will be final. All the scores will be kept highly confidential. HKQAA has the right to determine the number of awardees; and the right not to name the participant for any of the awards. No appeal will be entertained. HKQAA reserves the ultimate right to make final and binding decisions in case of any disputes.
8. The Participant agrees and understands the need of fulfilling the commitment listed on this form, and completing related exchange and training programs etc. within specific period. Awardees who fail to fulfil the requirements within the specific period will result in their awards being deprived. HKQAA reserves the right to disqualify entries without prior notice or warning.
9. HKQAA reserves the right to amend, cancel, postpone or bring forward parts of the Award and relevant activities, and amend, postpone or bring forward the whole of the Award and relevant activities. HKQAA is not obliged to reimburse for any expenses related to such changes. HKQAA reserves the right to amend the regulations and other arrangements of the Award and related activities without prior notice.
10. All submitted material(s) relating to the Award, including the required application fee, entry processing fee, production fee, promotion fee and other fees, are non-refundable and nontransferable.
11. The Participant shall not make any messages or statements relevant to the Award or HKQAA, which HKQAA may reasonably consider to be misleading, or in such a manner as to bring the Award, or HKQAA into disrepute.
12. The awardee agrees to cooperate with HKQAA to promote the Award, display its organisation logo on related promotion materials and occasions of the Awards, and play an active role in relevant promotional activities in the future upon request.
13. The Participant shall understand that its declared information and scores will be handled and checked by independent reviewers arranged by HKQAA, and subject to final review and confirmation by professional assessors, and all information will be kept confidential. The Participant also agrees upon the completion of the declaration form that their organizational information related to green and sustainable finance, net-zero contribution, ESG disclosure or climate risk disclosure planning may be extracted for the use as sharing purpose during the award ceremony, recognition activities or on the publicity materials related to the Award. HKQAA reserves the right to interpret and amend the details of the terms and conditions.
14. All participants must own the sole and full copyright of the materials submitted by them. HKQAA assumes no liability for any misuse of copyright by the participant. The participant shall understand and agree to grant HKQAA the exclusive intellectual property rights of the submitted content and information. Except confidential information, HKQAA has the right to display the content and information in any form of media for demonstration, publication, exhibition, promotion. HKQAA also has the authority to edit, translate, adapt, use, copy and distribute, in whole or in part for non-commercial use, without any prior consent.
15. HKQAA shall not be responsible or liable for any erroneous, damaged, destroyed, lost, late, incomplete, illegible and misdirected entries, or any damage or loss arising from, connected with, or relating to the Award, the submission of information to the Award, participation in the Award, regardless of the cause, omission or any fault by HKQAA, or any person for whom HKQAA is responsible, and notwithstanding that any of those persons may have been advised of the possibility of such loss or damage being incurred.
16. All Participants in the Award are on a voluntary basis. HKQAA takes no responsibility of; and will be exempted from all legal liability, compensation and responsibility that may be caused.
17. Under the Personal Data (Privacy) Ordinance, the participants are entitled to be informed by HKQAA whether HKQAA hold their personal data, to have access to such data and to correct such data if they are inaccurate. Any such data access request shall be made in writing and be sent to HKQAA by post.
18. All Participants agree that the above information may be used by HKQAA for processing the application of the Award, and for any other purposes as stated in the Privacy Policy Statement. All Participants shall fully read and understand the Privacy Policy Statement of HKQAA from its website ([www.hkqaa.org/cmsimg/privacy/statement.pdf](file:///C%3A%5CUsers%5Ceastro-m%5CAppData%5CLocal%5CMicrosoft%5CWindows%5CTemporary%20Internet%20Files%5CContent.Outlook%5C64RA6DY0%5Cwww.hkqaa.org%5Ccmsimg%5Cprivacy%5Cstatement.pdf)), and confirm that they have the consent of each individual’s name in this form to release their personal data for the purposes stated herein.
19. The Awards application fee is waived for participants from the charitable sector in Hong Kong, that is, the organisations shown on the “List of Charitable Institutions and Trusts of a Public Character which are exempt from tax under Section 88 of the Inland Revenue Ordinance”; and the government sector. The participating organisation from the charitable sector is required to submit a copy of the tax exemption letter issued by Inland Revenue Department that shows it is a charity or non-profit making organization (under Section 88). HKQAA reserves the right to make the final decision on the waivers in case of any disputes.
20. Payment shall be made within 15 business days upon the submission of the Application Form.
21. HKQAA reserves the right to interpret and amend the details of the terms and conditions.
22. This application form was prepared in English and Chinese respectively. In case of any discrepancy or inconsistency between the English version and the Chinese version, the Chinese version shall prevail.

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| **Appendix II: Application Conditions & Requirements** |
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| **Green and Sustainable Bond, Loan and Other Financial Instruments/ Services\*** |
| * **Outstanding Award for Green and Sustainable Bond Issuer**（In the sector）
* **Outstanding Award for Green and Sustainable Bond Lead Manager**（In the sector）
* **Outstanding Award for Green and Sustainable Bond Facilitator** （In the sector）*– for category 3 only*

- The participant is required to provide **two outstanding examples** of its organisation's governance structure, policies or strategies in supporting green and sustainable finance development in Hong Kong, and addressing sustainability issues to create a better world. |
| 1. Largest Single (Theme) Bond
 | * Highest amount of single bond issued with a specific theme of green and sustainability among participants of the same award category in the same sector and completed appropriate independent conformity assessment during the period (1. Tranche is counted as one single bond; 2. Private placement is not counted)）
 |
| 1. Largest Amount of (Theme) Bond
 | * Highest total amount of all the bonds issued with a specific theme of green and sustainability among participants of the same award category in the same sector and completed appropriate independent conformity assessment during the period. (Private placement is not counted)
 |
| 1. Visionary (Theme) Loan Framework/

Visionary (Theme) Loan Performance Metrics  | * Positive sustainability impacts of the bond framework/ performance metrics matching a specific number of category under the acceptable green and social classifications of HKQAA Green and Sustainable Finance Certification Scheme; and the bond was issued and completed appropriate independent conformity assessment during the period
 |
| * **Outstanding Award for Green and Sustainable Loan Structuring Advisor** (in the sector)

- The participant is required to provide **two outstanding examples** of its organisation's governance structure, policies or strategies in supporting green and sustainable finance development in Hong Kong, and addressing sustainability issues to create a better world. |
| 1. Visionary (Theme) Loan Framework / Visionary (Theme) Loan Performance Metrics
 | * Positive sustainability impacts of the loan framework/ performance metrics matching a specific number of category under the acceptable green and social classifications of HKQAA Green and Sustainable Finance Certification Scheme; and the loan was issued and completed appropriate independent conformity assessment during the period
 |
| * **Outstanding Award for Green and Sustainable Loan Issuer** (in the sector) *– for category 5, 6, 9 only*
* **Outstanding Award for Green and Sustainable Loan Facilitator** (in the sector)

- The participant is required to provide **two outstanding examples** of its organisation's governance structure, policies or strategies in supporting green and sustainable finance development in Hong Kong, and addressing sustainability issues to create a better world. |
| 1. Greatest Number of (Theme) Loan
 | * Facilitate largest quantity of loans issued with a specific theme of green and sustainability among participants of the same award category in the same sector and completed appropriate independent conformity assessment during the period
 |
| 1. Largest Amount of (Theme) Loan
 | * Highest total amount of all the loans issued with a specific theme of green and sustainability among participants of the same award category in the same sector and completed appropriate independent conformity assessment during the period.
 |
| 1. Greatest Number of (Theme) Loans
 | * Greatest total number of all the loans issued with a specific theme of green and sustainability among participants of the same award category in the same sector and completed appropriate independent conformity assessment during the period.
 |
| 1. Greatest Number of (Theme) Loan e-Assessment
 | * Facilitate largest quantity of loans, issued with a specific theme of green and sustainability and conducted e-assessment through HKQAA ePlatform, among participants of the same award category in the same sector during the period
 |
| 1. Visionary (Theme) Loan Framework / Visionary (Theme) Loan Performance Metrics
 | * Positive sustainability impacts of the loan framework/ performance metric matching a specific number of category under the acceptable green and social classifications of HKQAA Green and Sustainable Finance Certification Scheme; and the loan was issued and completed appropriate independent conformity assessment during the period
 |
| * **Outstanding Award for Green and Sustainable Deposit Facilitator** (in the **sector**)

- The participant is required to provide **two outstanding examples** of its organisation's governance structure, policies or strategies in supporting green and sustainable finance development in Hong Kong, and addressing sustainability issues to create a better world. |
| 1. Largest (Theme) Deposit
 | * Highest amount of deposit issued with a specific theme of green and sustainability among participants of the same award category in the same sector and completed appropriate independent conformity assessment during the period
 |
| 1. Visionary (Theme) Deposit Service
 | * Positive sustainability impacts of the deposit service matching a specific number of category under the acceptable green and social classifications of HKQAA Green and Sustainable Finance Certification Scheme; and the deposit was issued and completed appropriate independent conformity assessment during the period
 |
| * **Outstanding Award for Green and Sustainable Guarantee Facilitator** (in the **sector**)

- The participant is required to provide **two outstanding examples** of its organisation's governance structure, policies or strategies in supporting green and sustainable finance development in Hong Kong, and addressing sustainability issues to create a better world. |
| 1. Largest Single (Theme) Guarantee
 | * Highest amount of single guarantee issued with a specific theme of green and sustainability among participants of the same award category in the same sector and completed appropriate independent conformity assessment during the period
 |
| 1. Largest Amount of (Theme) Guarantees
 | * Highest total amount of all the guarantees issued with a specific theme of green and sustainability among participants of the same award category in the same sector and completed appropriate independent conformity assessment during the period.
 |
| 1. Visionary (Theme) Guarantee Service
 | * Positive sustainability impacts of the guarantee service matching a specific number of category under the acceptable green and social classifications of HKQAA Green and Sustainable Finance Certification Scheme; and the guarantee was issued and completed appropriate independent conformity assessment during the period
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| * **Pioneering Organisation in Green and Sustainable (Other Financial Instrument/ Service Category) Service** (in the sector)

- The participant is required to provide **two outstanding examples** of its organisation's governance structure, policies or strategies in supporting green and sustainable finance development in Hong Kong, and addressing sustainability issues to create a better world. |
| 1. Visionary (Theme + Other Financial Instrument/ Service Category) Loan Framework / Visionary (Theme + Other Financial Instrument/ Service Category) Loan Performance Metrics /

Visionary (Theme + Other Financial Instrument/ Service Category) Loan Operation Policy | * Positive sustainability impacts of the financial instrument or service framework/ performance metric/ operation policy matching a specific number of category under the acceptable green and social classifications of HKQAA Green and Sustainable Finance Certification Scheme; and the financial instrument or service was launched and completed appropriate independent conformity assessment during the period
 |

\*Applicant declares to participate in below exchange and training programs before 31 March 2024 (Each application is required to fulfil below commitments once):• Commit to arranging TWO staff with related job duties to participate in a 15-minute free video training course via Lightshare, HKQAA’s online think tank platform, on topics about livable city, sustainable development, net-zero, climate risk or ESG. |

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| **Net-Zero Contribution** |
| **Award Category** | **Application Conditions** | **Application Requirements** |
| **Management Award for Net-Zero Contribution** - Achievement of Visionary Net-Zero Strategies | The organisation has obtained the HKQAA "Achievement of Net-Zero Certificate" or has obtained other applicable relevant conformity assessment documents. Meanwhile, the organisation must provide the relevant verification, certification or conformity assessment boundary and relevant carbon emission data for which verification or other assessment has been conducted. | Applicant should provide required information stated in the related declaration form and showcase its good practices and measures based on the award category applied. Applicant declares to participate in below exchange and training programs before 31 March 2024 (Each application is required to fulfil below commitments once):* Commit to arranging TWO staff with related job duties to participate in a 15-minute free video training course via Lightshare, HKQAA’s online think tank platform, on topics about livable city, sustainable development, net-zero, climate risk or ESG.
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| **Management Award for Net-Zero Contribution** - Achievement of Visionary Carbon Peak Strategies | The organisation has obtained the HKQAA "Achievement of Carbon Peak Certificate" or has obtained other applicable relevant conformity assessment documents. Meanwhile, the organisation must provide the relevant verification, certification or conformity assessment boundary and relevant carbon emission data for which verification or other assessment has been conducted. |
| **Management Award for Net-Zero Contribution** - Visionary Blueprint towards Net-Zero  | The organisation has obtained the HKQAA "Commitment to Net-Zero Certificate" or has obtained other applicable relevant conformity assessment documents. Meanwhile, the organisation must provide the relevant verification, certification or conformity assessment boundary and relevant carbon emission data for which verification or other assessment has been conducted. |
| **Management Award for Net-Zero Contribution** - Showcase Carbon Disclosure Performance | The organisation has obtained the HKQAA "Disclosure of Carbon Emission Certificate" or has obtained other applicable relevant conformity assessment documents. Meanwhile, the organisation must provide the relevant verification, certification or conformity assessment boundary and relevant carbon emission data for which verification or other assessment has been conducted. |

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| **Climate Disclosure and** **ESG Disclosure Contribution** |
| **Award Category** | **Application Conditions** | **Application Requirements** |
| **Pioneering Organisation in Climate Disclosure Planning** | The key elements of climate related risks are planned to be integrated or have been integrated into the 4 core operational areas of "Governance", "Strategy", "Risk Management" and "Metrics and Targets" in order to enhance climate resilience; disclosing information and progress on climate risk in these four key areas, is also planned with reference to the guidance and framework of international organizations and local regulators. Although the organisation has not yet disclosed the relevant information and obtained the relevant document of assessment, verification and other conformity assessment, it has planned or is taking relevant good practices and measures to make contribution to enhance the planning of climate risk management and disclosure. | Applicant should provide required information stated in the related declaration form and showcase its good practices and measures based on the award category applied. Applicant declares to participate in below exchange and training programs before 31 March 2024 (Each application is required to fulfil below commitments once):* Commit to arranging TWO staff with related job duties to participate in a 15-minute free video training course via Lightshare, HKQAA’s online think tank platform, on topics about livable city, sustainable development, net-zero, climate risk or ESG.
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| **Pioneering Award for Climate Disclosure Contribution** | The key elements of climate related risks have been integrated into the 4 core operational areas of "Governance", "Strategy", "Risk Management" and "Metrics and Targets" in order to enhance climate resilience; disclosing information and progress on climate risk in these four key areas, with reference to the guidance framework of international organizations and local regulators, such as TCFD guidelines, ISSB guidelines, HKMA GS-1, HKEx Climate Disclosure Guidelines, etc., to enhance the climate risk management and disclosure planning; meanwhile, it has planned or applied for relevant assessment, verification and other conformity assessment. |
| **Outstanding Award for Climate Disclosure Contribution** | The key elements of climate related risks have been integrated into the 4 core operational areas of "Governance", "Strategy", "Risk Management" and "Metrics and Targets" in order to enhance climate resilience; disclosing information and progress on climate risk in these four key areas, with reference to the guidance framework of international organizations and local regulators, such as TCFD guidelines, ISSB guidelines, HKMA GS-1, HKEx Climate Disclosure Guidelines, etc, to enhance the climate risk management and disclosure planning; meanwhile, it has already obtained the relevant document of assessment, verification and other conformity assessment. |
| **Pioneering Organisation in ESG Disclosure Enhancement** | The key elements of climate related risks are planned to be integrated or have been integrated into the 4 core operational areas of "Governance", "Strategy", "Risk Management" and "Metrics and Targets" in order to enhance climate resilience; disclosing the ESG information and progress on climate risk in these four key areas, is planned with reference to the guidance and framework of international organizations and local regulators. Although the organisation has not yet disclosed the relevant information and obtained the relevant document of assessment, verification and other conformity assessment, it has planned or is taking relevant good practices and measures to make contribution to enhance the planning of ESG disclosure. |
| **Pioneering Award for ESG Disclosure Contribution** | The key elements of climate related risks have been integrated into the 4 core operational areas of "Governance", "Strategy", "Risk Management" and "Metrics and Targets" in order to enhance climate resilience; disclosing information and progress on climate risk in these four key areas, with reference to the guidance framework of international organizations and local regulators, such as TCFD guidelines, ISSB guidelines, HKMA GS-1, HKEx Climate Disclosure Guidelines, etc, to enhance the ESG disclosure; meanwhile, it has planned or applied for relevant assessment, verification and other conformity assessment. |
| **Outstanding Award for ESG Disclosure Contribution** | The key elements of climate related risks have been integrated into the 4 core operational areas of "Governance", "Strategy", "Risk Management" and "Metrics and Targets" in order to enhance climate resilience; disclosing information and progress on climate risk in these four key areas, with reference to the guidance framework of international organizations and local regulators, such as TCFD guidelines, ISSB guidelines, HKMA GS-1, HKEx Climate Disclosure Guidelines, etc, to enhance the ESG disclosure; meanwhile, it has already obtained the relevant document of assessment, verification and other conformity assessment. |

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