

SUSTAINABLE FINANCE AND CLIMATE RESILIENCE



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About HKQAA International Symposium 香港品質保證局國際專題研討會簡介

Accomplishing the mission of HKQAA to introduce international standards, promote good management practices and sustainability, as well as assisting organisations to enhance performance, we launched our first Symposium in year 2001. Knowledge of contemporary management and experience of commendable good practices are shared, while business opportunities are explored by participants of this flagship event.

Committing to offer our best, this year's Symposium discusses the sustainability strategies to address today's challenges and opportunities. In keeping with the development of sustainable economy and the Government's strategies, the main theme of this year's Symposium is "Sustainable Finance and Climate Resilience • Hong Kong 2022". We believe this can stimulate audience to think ahead and navigate new strategic directions to work together in tackling climate change challenges and shaping a sustainable business and investment environment.

Realising its primary function, HKQAA International Symposium 2022 has brought together the most acclaimed speakers from various international organisations, financial institutions, government authority and advisory body to share the development of regulatory framework for climate change and international baseline standards of sustainability disclosure for financial markets, the global convergence of climate reporting, carbon market development, the trends and development of green and sustainable financing in Asia-Pacific Region and the Greater Bay Area, and so on.

Contributing ourselves to the society is always on the top of our agenda. We keep on serving the society under the guidance of our mission statement. We sincerely hope the HKQAA International Symposium can benefit the industry and society as a whole.

達成一貫使命 — 香港品質保證局在2001年舉行了首屆的專題研討會,致力引進國際標準,提倡優質管理辦法和可持續發展,並協助機構提升表現。知名講者分享現代管理的知識和實踐良好作業模式的經驗,參與者也能透過這個旗艦活動,開拓新的發展機遇。

承諾給予最好 — 今年我們安排了一系列的研討專題,探討具前瞻性的可持續發展策略,以應對現今各種挑戰和機遇。為配合可持續經濟的發展及政府策略,本屆研討會的主題為「可持續金融及氣候適應 ● 香港2022」,期望啟發業界籌謀長遠發展的新方向,攜手應對氣候變化的挑戰,締造可持續發展的營商及投資環境。

實践首要功能 — 「香港品質保證局國際專題研討會2022」匯集了多位來自不同國際組織、金融機構及政府機構的講者,就氣候變化監管框架的發展、金融市場可持續發展披露的國際基準性標準、全球氣候風險報告邁向統一融合、碳市場發展、亞太地區及大灣區的綠色和可持續金融的發展趨勢等課題,分享獨到見解和最新資訊。

全力貢獻社會 —— 是香港品質保證局管理層的首要工作,我們將繼續秉承服務社會的使命。誠切希望香港品質保證局舉辦的國際專題研討會能裨益業界及社會。

Chairman's Message 主席的話



Ir C. S. Ho 何志誠工程師

Chairman of HKQAA 香港品質保證局主席

On behalf of Hong Kong Quality Assurance Agency (HKQAA), I am pleased to welcome you all to the HKQAA International Symposium 2022.

Worldwide action to achieve a climate-resilient, sustainable world is more urgent than previously thought, as emphasised by an assessment report released by the Intergovernmental Panel on Climate Change (IPCC) in 2022. Last year's COP26 summit in Glasgow also reached a consensus on key climate actions, and reemphasised the important role of finance in driving and supporting the transition to carbon neutrality.

Our country highly values its "30.60" carbon target and the United Nations Sustainable Development Goals, and actively promotes green transformation and sustainable finance. In Hong Kong, the SAR Government will step up its decarbonisation efforts to achieve the goal of carbon neutrality before 2050, according to the recently released 2022 Policy Address. Our city will also be developed into an international carbon market, as well as a premier financing platform for governments and green enterprises on the Mainland and around the world.

As part of this trend, investors increasingly incorporate sustainability issues into their investment decisions, particularly through risk management. Visionary organisations have to develop strategies to meet regulatory requirements, address climate and sustainability challenges, and seize market opportunities in the commercial and financial markets.

The main theme of this year's HKQAA International Symposium is "Sustainable Finance and Climate Resilience • Hong Kong 2022". We are honoured to have renowned overseas and local speakers to discuss the hot topics and latest trends in sustainability. I believe this flagship event will gather ideas and give organisations new insights into sustainability and climate strategies, helping them to achieve long-term success and contribute to a more sustainable and resilient economy.

May I extend our gratitude to our distinguished guests, speakers, partners, sponsors, supporting organisations and participants for their unfailing support. The event could not be a success without your involvement.

Thank you very much!

我謹代表香港品質保證局,歡迎各位蒞臨「香港品質保證局國際專題研討會2022」。

政府間氣候變化專門委員會在2022年發布的評估報告中強調,氣候變化問題比預期更迫切。我們必須加快步伐,採取全球行動建設一個具氣候適應力、可持續發展的世界。去年在格拉斯哥舉行的COP26峰會,也就關鍵的氣候行動達成了共識,並再次強調了金融業在推動和支持邁向碳中和的重要作用。

國家高度重視「30、60」碳中和目標及聯合國可持續發展目標的落實,積極推動綠色轉型和可持續金融的發展。香港特區政府在最近發布的2022年施政報告中,也表明將加緊各項減碳行動,務求在2050年前實現碳中和目標,並致力在香港建設國際碳市場,發展成為內地及海外政府和綠色企業的首選融資平台。

在這趨勢下,越來越多投資者將可持續發展理念納入投資決策及風險管理中。富遠見的機構都需要制定不同策略,以應對氣候和可持續發展的挑戰,滿足監管要求,並在商業和金融市場上把握 機遇。

今年,香港品質保證局國際專題研討會以「可持續金融及氣候適應●香港 2022」為主題。我們十分 榮幸邀請到海外和本地知名講者,就可持續發展的熱門課題和最新趨勢,分享真知灼見;期望能 集思廣益,為機構帶來更多新思維,以助推行可持續發展和氣候策略,從而取得長遠成功,一起 促進更具韌性和可持續的經濟發展。

謹此向各位嘉賓、講者、合作夥伴、贊助商、支持機構及與會來賓,致以衷心的謝意,有賴大家的鼎力支持,是次研討會才得以成功舉行。

謝謝!

Chief Executive Officer's Message 總裁的話



Dr Michael P. H. Lam 林寶興博士

Chief Executive Officer of HKQAA 香港品質保證局總裁

As a non-profit public organisation established by the Hong Kong Government in 1989, HKQAA is committed to promoting good management practices, environmental protection and responsible investment for the betterment of society through technology transfer, knowledge sharing and providing assurance.

In 2001, we held our first HKQAA Symposium to establish a platform for international experts and industry leaders to exchange the latest trends and the latest information. This year's Symposium covers various important topics in sustainable finance and climate resilience. We aim to stimulate organisations to navigate new strategies to manage sustainability challenges and opportunities.

Climate change and sustainable development have become significant focuses for the commercial and financial sectors. According to a report released by the United Nations Global Compact in 2021, climate disruption has accelerated the transition to more sustainable business models for CEOs across different industries and regions. About one third of CEOs cite expectations from investors and capital markets as incentives for more rapid climate action, and over 70% of CEOs agree that sustainability remains an immediate priority as they deal with the fallout from the pandemic.

As one of the pioneers in promoting sustainability in the Greater Bay Area, HKQAA strives to shape a sustainable business and investment environment. Apart from participating in the development of ISO standards for green and sustainable finance, we have developed a wide range of innovative services, such as a verification service for sustainability reporting, the HKQAA CSR Index, assessment and rating services for the Hang Seng Corporate Sustainability Index Series, the Green and Sustainable Finance Certification Scheme and the Net-Zero Certificate Scheme.

In addition, we are taking the lead in developing a series of new services to align with the Government's climate change strategies. These include initiatives for climate risk management and TCFD Reporting, as well as training and registration services for green and sustainable finance talent. Our goal is to assist financial institutions and organisations as they prepare to fulfil regulatory requirements and demonstrate their progress and achievement in reducing carbon emissions and addressing climate risks.

Looking ahead, HKQAA will continue to provide solutions for the business community, financial sector and society by embracing new challenges and joining hands with various sectors to build a sustainable future.

香港品質保證局作為香港政府於1989年成立的非牟利公營機構,一直致力透過技術轉移、知識分享和提供保證,推廣優質管理辦法,促進環境保護、可持續發展和負責任投資,從而惠及社會。2001年,我們舉辦了第一屆香港品質保證局專題研討會,為國際專家和行業領袖建立了一個互動平台,交流最新趨勢和新知灼見。今年的專題研討會,將涵蓋可持續金融和氣候適應方面的熱門課題,鼓勵機構採取嶄新策略,管理可持續發展的挑戰和機遇。

氣候變化和可持續發展已經成為商界和金融機構的關注焦點。聯合國全球契約組織在2021年發布的報告中指出,疫情及氣候變化帶來的影響和破壞,促使世界各地不同行業,都加快轉型至更可持續的商業模式。當中,約三分之一行政總裁指投資者及資本市場的期望,成為他們加快氣候行動的推動力,並有超過七成表示,即使面對疫情,但對機構來說,推動可持續發展的工作仍是當前急務。

本局作為大灣區內推動可持續發展的先驅之一,除了參與制定有關綠色和可持續金融的ISO國際標準外,亦推出可持續發展報告的驗證服務及「社會責任指數」,為「恆生可持續發展企業指數系列」提供評級服務,制定「綠色和可持續金融認證計劃」及「碳中和證書計劃」等,致力締造一個可持續發展的商業和投資環境。

此外,我們正率先開發一系列嶄新服務,以配合政府氣候變化的策略,包括有關氣候風險管理和 TCFD報告的倡議,以及綠色和可持續金融專才培訓和註冊等。期望這些倡議及服務,能協助金 融及商業機構做好準備,以滿足最新氣候風險管理和披露監管要求,並展示碳減排及應對氣候風 險的進展和成就。

展望未來,本局將繼續為商界、金融界及社會提供解決方案,以助應對新的挑戰,攜手共建可持續發展的未來。

Congratulatory Messages 賀辭



The Hon John KC LEE, GBM, SBS, PDSM, PMSM 李家超先生, 大紫荊勳賢, SBS, PDSM, PMSM

The Chief Executive of the Hong Kong Special Administrative Region 香港特別行政區行政長官

可持續金融及氣候適應·香港二零二二香港品質保證局專題研討會

水續級級

行政長官李家超



Congratulatory Messages 賀辭



Mr Christopher Hui, GBS, JP 許正宇先生, GBS, JP

Secretary for Financial Services and the Treasury

財經事務及庫務局局長

財經事務及庫務局局長許正字



可持續發展

Programme 流程

Date 日期

1 December 2022 (Thursday) 2022年12月1日(星期四)

Time 時間

1:45pm - 4:45pm 下午1時45分至4時45分

Venue 地點

Ballroom, Level 5, Island Shangri-La, Hong Kong (Pacific Place, Supreme Court Road, Central, Hong Kong) 港島香格里拉酒店5樓宴會廳(香港金鐘法院道太古廣場)

Language 語言

English and Putonghua (Simultaneous interpretation will be provided for physical attendance) 英語及普通話(現場提供即時傳譯)

Officiating Guest 主禮嘉賓

The Hon John KC LEE, GBM, SBS, PDSM, PMSM **李家超先生**, 大紫荊勳賢, SBS, PDSM, PMSM The Chief Executive of the Hong Kong Special Administrative Region 香港特別行政區行政長官

Special Guest 特別嘉賓

Mr Christopher Hui, GBS, JP 許**正字先生**, GBS, JP Secretary for Financial Services and the Treasury 財經事務及庫務局局長

Programme 流程

- Welcoming Remarks 歡迎辭
- Keynote Remarks and Presentations
 專題演講及分享
 - Development of Regulatory Framework for Climate Change 氣候變化監管框架的發展
 - Establishment of International Baseline Standards of Sustainability Disclosure for the Financial Markets 建立金融市場可持續發展披露的國際基準性標準
 - ◆ The Global Convergence of Climate Reporting 氣候風險報告邁向全球統一融合
 - Development of Sustainability Linked Loan Principles in Asia-Pacific Region
 亞太區可持續掛鉤貸款原則的發展
 - Promoting Green and Sustainable Finance in the Greater Bay Area 推動大灣區的綠色和可持續金融發展
 - Financing the Transition to Green and Sustainable Economy 綠色和可持續經濟轉型的融資趨勢
 - The Role of ISO in Facilitating the Sustainable Development of Commercial and Financial Market ISO促進商業及金融市場可持續發展的角色
 - Developing Hong Kong into a Carbon Hub in Asia 打造香港成為亞洲的國際碳交易中心
 - Experience Sharing on Green and Sustainable Financing 綠色和可持續融資經驗分享
 - Accelerating SDG Implementation through Green and Sustainable Financing 通過綠色和可持續金融,加快可持續發展目標的實踐步伐
- ◆ Officiating Remarks 主禮嘉賓致辭
- Group Photo Sessions 嘉賓合照
- Recognition Ceremony for Green and Sustainable Finance Issuers and Facilitators 嘉許綠色和可持續金融發行者及服務機構

HKQAA reserves the right to change the contents of this Symposium 香港品質保證局保留更改是次研討會內容之權利



Mr Lui Tim Leung, Tim, SBS, JP 雷添良先生, SBS, JP

Chairman, Securities and Futures Commission 證券及期貨事務監察委員會主席

Mr Lui is the Chairman of the Hong Kong Securities and Futures Commission, a Director of the Academy of Finance, a member of the Hong Kong Monetary Authority's Exchange Fund Advisory Committee, and Banking Advisory Committee.

Mr Lui is a Fellow Member of The Hong Kong Institute of Certified Public Accountants (HKICPA) and he served as its President in 1997.

During his career at PricewaterhouseCoopers ("PwC"), he was a partner, Lead Director of the Board of Partners, and a member of the Global Board of PwC International Limited.

Currently, Mr Lui is also the Chairman of the Education Commission and a member of the Public Service Commission.



Ms Teresa Ko, BBS, JP 高育賢女士, SBS, JP

Corporate partner and China Chairman, Freshfields Bruckhaus Deringer Co-vice chair, IFRS Foundation 國際財務報告準則基金會聯席副主席、富而德律師事務所公司事務合夥人兼中國區主席

Described as "an icon in the industry", Teresa is one of the most prominent corporate and securities lawyers in Hong Kong.

She is the founding partner of Freshfields' Asia equity capital markets practice and has helped numerous Chinese state-owned, privately-owned and international companies to list on the Hong Kong Stock Exchange (HKSE), including advising on eight out of the ten largest IPOs in Hong Kong. She also has an impressive record on public and private M&A transactions and advises on joint ventures and corporate governance matters.

Among her many public service appointments, Teresa currently serves as one of two vice-chairs of the IFRS Foundation Trustees and as chair of its Due Process Oversight Committee. She was also a member of the Trustee Sustainability Steering Committee which oversaw the establishment of the International Sustainability Standards Board (ISSB).

She was the first female to chair the Hong Kong Stock Exchange's Listing Committee between 2009 and 2011 and was deputy chair for three years before then. She currently serves as co-chair of the Hong Kong Stock Exchange's Listing Review Committee and a deputy chair of the Securities and Futures Commission (SFC)'s Takeovers and Mergers Panel.

She also served as a non-executive director of the SFC in 2012 to 2018.

Amongst her many accolades, Teresa was recently recognised as a HERoes Executive Role Model in 2022 for the fifth consecutive year. She was also named in IFLR1000 Women Leaders 2022 - an elite ranking for the most prominent women lawyers globally and in Forbes''Asia's Power Businesswomen' list 2021.



Dr Jeffrey Hales, PhD

Board Member, International Sustainability Standards Board;
Former Chair, Sustainability Accounting Standards Board;
Charles T. Zlatkovich Centennial Professor of Accounting, University of Texas at Austin
可持續核算準則委員會前主席、國際可持續發展準則理事會成員、德克薩斯大學奧斯汀分校會計系茲拉特科維奇百年教授

Jeffrey Hales has been serving as an inaugural member of the International Sustainability Standards Board (ISSB) since July 2022. Prior to joining the ISSB, he served as an inaugural member of the Sustainability Accounting Standards Board (SASB), which he chaired from 2018 to 2022. In his role as Chair, he oversaw the agenda setting and due process associated with the SASB standards development and maintenance. Before serving on the SASB Standards Board, he chaired the Standards Council for the SASB, which was formed in 2012.

Jeffrey Hales is also on faculty at the University of Texas at Austin, where he teaches sustainability accounting. His research interests center on standard setting and regulation and has appeared in The Accounting Review, Journal of Accounting Research, Journal of Accounting and Economics, and the Journal of Financial Economics, among other journals.

During 2009-10, he was a Research Fellow at the Financial Accounting Standards Board (FASB) in Norwalk, CT. In addition, he served as a member of the FASB's Financial Accounting Standards Advisory Council from 2016-2019. In the United Kingdom, he served on the Financial Reporting Council's Future of Corporate Reporting Advisory Group from 2018-2020 and as a member of the Climate Disclosure Standards Board from 2018-2022.



Mr Andrew Ferguson 範傑生先生

Chief Executive Officer, Asia Pacific Loan Market Association 亞太區貸款市場公會行政總裁

Andrew Ferguson, a veteran of the banking industry (with a career spanning 39 years with Lloyds, Bank of America, BNP Paribas, HSBC and ANZ), established a successful consulting business in Hong Kong in 2012, as a result of which he delivered the APLMA Certificate Course in Hong Kong, Singapore and Sydney in 2016 and 2017 and then undertook an intensive research project for the APLMA in 2017. He was appointed as Advisor to the Board of the APLMA in February 2018 and appointed as Chief Executive Officer in November 2018.

Andrew was educated at the University of Southampton in England, is an Associate of the Chartered Institute of Banking and a Fellow of the Hong Kong Institute of Directors, and twice served as the Chairman of the Capital Markets Association in Hong Kong.



Mr Tong Shiqing 童士清先生

Deputy Director of Guangdong Financial Supervisory Authority 廣東省地方金融監督管理局副局長

Mr. Tong Shiqing, born in April 1975, owns on-the-job postgraduate degree and PhD in Economics. Before his appointment of Deputy Director of Guangdong Financial Supervisory Authority, Mr. Tong Shiqing successively served as Senior Staff Member and Deputy Section Chief of the Shanghai Branch of the People's Bank of China, Deputy Division Director and Division Director of the Shanghai Head Office of the People's Bank of China, Deputy Director of the General Administration Department (General Office of the CPC PBC Committee) and concurrently Division Director of Law and Regulations Department of the Shanghai Head Office of the People's Bank of China.



Dr Nina Seega

Director, Research for Sustainable Finance, University of Cambridge Institute for Sustainability Leadership 劍橋大學可持續領導力學院可持續金融研究總監

Dr Nina Seega is the Research Director for Sustainable Finance at the Cambridge Institute for Sustainability Leadership (CISL). She leads a broad portfolio of engagements across a variety of sustainable finance themes, including nature-related finance. Nina has worked with De Nederlandsche Bank (DNB) on the financial impact of disruptive energy transition, collaborated with the South African National Treasury and Banco de Mexico on embedding environmental scenarios into financial risk frameworks, and was the knowledge partner for the G20 Green Finance Study Group in 2016 and 2017. Previously, Nina was the Head of the London Traded Products Desk for Dresdner Kleinwort. In addition to her role at University of Cambridge Institute for Sustainability Leadership, Nina is a member of the Sustainable Finance Advisory Panel at MAS, Advisory Board at GFANZ, Strategic Advisory Board on Sustainable Finance at the British Standards Institute, the Sustainable Finance Scientific Council at S&P Ratings, and the Board of Directors at the Global Research Alliance for Sustainable Finance.

Nina is a multilingual research director driven by mainstreaming environmental and social considerations into daily financial and corporate decision making. Previously a risk manager with leadership experience in traded credit risk management. Nina has defended her PhD on strategic practices that drive resilience in finance at the University of Cambridge. She also holds an MPhil in Innovation, Strategy and Organisation from University of Cambridge and an MSc in Information Systems from the London School of Economics.



Dr Nigel Croft 倪國夫博士

Associate Technical Director of HKQAA, Chair of ISO Joint Technical Coordination Group for Management System Standards, Member of ISO Strategic Advisory Group on ESG 香港品質保證局技術總監,國際標準化組織質量管理體系標準聯合技術協調小組主席、ESG策略諮詢小組成員

Dr Nigel H Croft is recognised as one of the world's foremost experts in quality management and conformity assessment of management systems. He is the Former Chairman of ISO/TC176/SC2, the ISO subcommittee responsible for the ISO 9001 and ISO 9004 standards development, and currently serves as Chair of ISO's Joint Technical Coordination Group for Management System Standards. He is also a member of the ISO Technical Management Board's Special Advisory Group for ESG issues.

Among his many professional activities, Dr Croft holds senior management positions and is a non-executive board member of a number of commercial and non-profit organisations in Europe, the Middle East, Asia and the Americas. He is also a senior consultant with the United Nations Industrial Development Organization.

Born in the UK, but with dual British and Brazilian citizenship, Dr Croft holds a first class honours degree from Cambridge University, and a Doctorate in Materials Science from Sheffield University. He is a Chartered Engineer and Chartered Quality Professional in the UK, a Fellow of the Chartered Quality Institute (CQI), a Senior Member of the American Society for Quality (ASQ) and a member of the Brazilian Academy for Quality. In 2017 he was awarded ASQ's prestigious Freund-Marquardt medal, "For his passion, dedication and leadership in the application of quality management principles to the development, promotion and implementation of quality management system standards on a global scale", and in 2018 he was the recipient of an Honorary Award from the CQI "for his substantial contribution to the evolution of the ISO 9000 family – the world's most popular set of quality management standards and for his leadership on the development of ISO 9001, among other major standards".



Ms Glenda So 蘇盈盈女士

Co-Head of Markets, Hong Kong Exchanges and Clearing Limited (HKEX)香港交易及結算所有限公司市場聯席主管

Glenda So is Co-Head of Markets at HKEX and a member of its Management Committee.

Prior to HKEX, Glenda spent four years as COO of a macro hedge fund in Singapore. Prior to this, she had a 23-year career with Goldman Sachs, where she was a managing director responsible for overseeing sizable operations teams, including Global Listed Derivatives and OTC Clearing operations, Asia Securities and Clearing Operations, and Asia Ex-Japan Operations. During her time with Goldman Sachs, she also spent three years in Currency Options Trading and Listed Derivatives Business Development.

Glenda is a board member at the International Securities Services Association and a member at the Hong Kong Trade Development Council's Financial Services Advisory Group.

Glenda holds a Bachelor of Business Administration in Finance from Baruch College, The City University of New York, USA.



Ms Connie Sham 沈小茵女士

Head of Audit, Strategic Business, Hong Kong Quality Assurance Agency 香港品質保證局策略業務審核主管

Ms Connie Sham started her career in pharmaceutical technology, risk management and environmental engineering in 1990, and spent years working in the areas of good manufacturing practices, environmental, health and process safety engineering, as well as risk management within chemical waste treatment facilities, pharmaceuticals industry, aircraft engineering industry and environmental research consultancy.

Her current position at HKQAA is Senior Manager, Strategic Business. She is responsible for quality, environmental, social accountability, occupational health & safety management system audits as well as carrying out carbon audit, verification of corporate social responsibility & sustainability reports for organisations and delivering public seminars in regards to various management systems.

In 1993, she obtained her Master's degree in Environmental Engineering at University of Newcastle upon Tyne. Also, she obtained her Bachelor's degree in Chemical and Process Engineering at University of Newcastle upon Tyne in 1990.



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Launched "HKQAA-HKJC Carbon Disclosure Platform" in 2012, with the support of the Hong Kong Jockey Club. Mrs Mimi Cunningham, Director of Human Resources and Sustainability for HKJC, officiated at the ceremony.

在香港賽馬會的支持下,於2012年啟動「HKQAA-HKJC碳披露電子平台」。前馬會人力資源及持續發展總監簡金港生女士主禮啟動儀式。



Launched Green Finance Certification Scheme in 2018 2018年推出「綠色金融認證計劃」



Launched HKQAA Net-Zero Certificate Scheme in 2021 2021年推出香港品質保證局碳中和證書計劃





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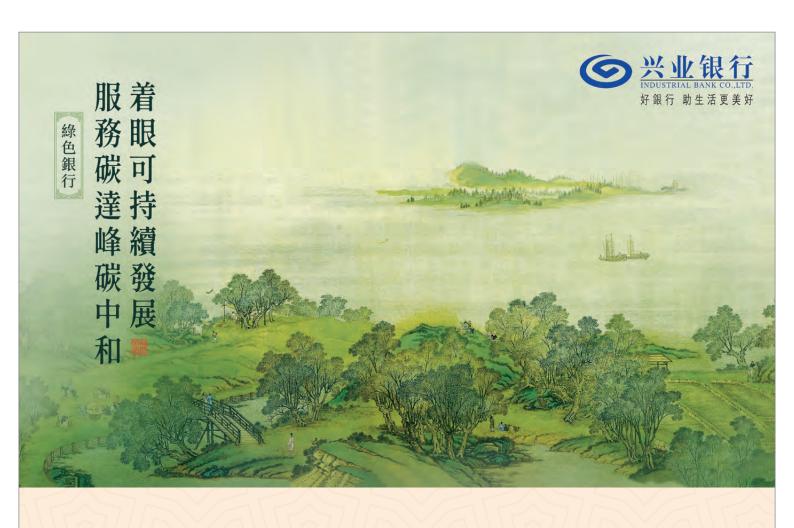




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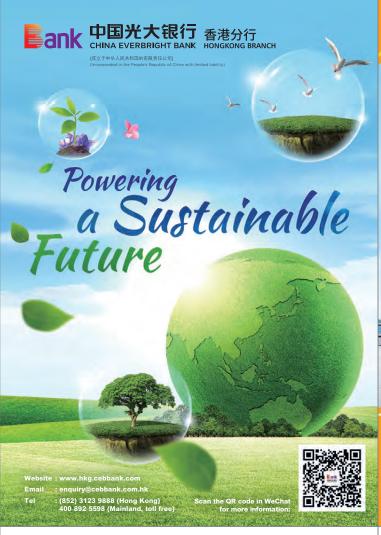


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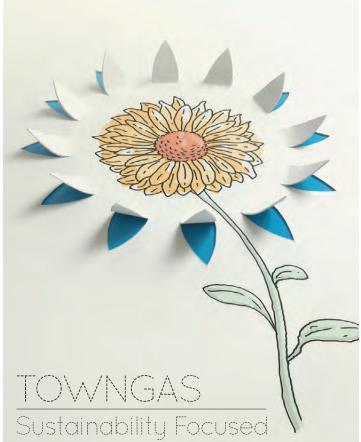
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