

*Green Finance Training Series*

## **Green Finance for Wealth and Asset Management (“WAM”) Professionals**



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**Green Finance for Wealth and Asset Management (“WAM”) Professionals** is a joint training programme offered by Hong Kong Quality Assurance Agency (“HKQAA”) and Hong Kong Securities and Investment (“HKSI”) Institute.

This training aims to equip the WAM professionals with the knowhow in Green Finance, including its key features, latest development and management framework, so that they can be better prepared for the opportunities and challenges introduced by Green Finance. In addition, the investment risks related to environmental and social impact as well as green washing can be managed with improved understanding of external review mechanisms which may be used as a risk management tool.

Participants who have successfully completed all the 5 modules (15 hours in total) with 80% or above attendance and obtained 70% of total scores in the examination (1.5 hours in total) will receive a testimonial to confirm their understanding of Green Finance.

## **Content**

### Training outline:

1. Introduction to Green Finance and global trend
2. Green Finance: principles and concepts
  - Equator Principles,
  - ICMA Green Bond Principles,
  - LMA/APLMA Green Loan Principles and
  - UNEP Sustainable Development Goals
3. HKQAA Green Finance Certification Scheme: requirements and certification process
4. Opportunities and challenges of Green Finance for WAM Industry:
  - Portfolio management,
  - Impact assessment and stakeholder engagement,
  - Risk management
5. Green Finance Framework

### Examination Outline:

1. Basic principles and definitions
2. Use of proceeds
3. Evaluation and selection of projects
4. Management of proceeds
5. Reporting
6. HKQAA Green Finance Certification

## **Who should attend**

1. WAM professionals who promote financial products to investors
2. Investment risk management specialists who help investors control the risks involved in investments
3. Those who are interested to embark on their career in the Green Finance business

## Speaker / Course Instructor

### Mr. Ting Kwok To (HKQAA Green Finance Certification Assessor)

Mr. Ting has over 20 years of experience in management system implementation, auditing and training. He first joined Hong Kong Quality Assurance Agency in 1995 as an auditor and he is now the Assistant Director of HKQAA. Prior to his engagement with the agency, he had industrial experience in various sectors including electronics manufacturing, laboratory testing, inspection and energy management as an engineer, quality manager and head of business and operation. Mr. Ting holds a master degree in Quality Management and he is a Chartered Quality Professional in UK. He dedicates himself to advocate organizational performance improvement through implementation of management systems. Recently, he is actively involved in green finance which helps organisations to raise fund for green projects, build green image and reach out to green investors. He introduced HKQAA Green Finance Certification scheme to major banks in Hong Kong as well as various green and financial associations. In addition, he is a qualified Green Finance Assessor. He has performed assessments for certification of green bonds and green loan issued by financial institutions and private company.

## Details

|          | Training Program   | Examination            |
|----------|--|------------------------|
| Date     | 19, 21, 26 February, 5 & 7 March 2019  | 29 March 2019 (Friday) |
| Time     | 6:30pm – 9:30pm  | 6:30pm – 8:00pm        |
| Language | Cantonese<br>(supplemented with English course materials)  | English                |
| Hours    | SFC: 16.5 CPT hours; PWMA: 16.5 OPT hours  |                        |
| Venue    | HKSI Institute Training Centre   |                        |
| Fee      | HK\$4,750 for HKSI Individual Members & Staff of Corporate Members<br>HK\$8,500 for Non-HKSI Members |                        |

## Financial Incentive Scheme of the WAM Pilot Programme

**Green Finance Basics for WAM Professionals** is one of the eligible courses / programmes under the financial incentives scheme ("The Scheme") of the Pilot Programme to Enhance Talent Training for the Asset and Wealth Management Sector ("WAM Pilot Programme"). Eligible individuals can apply for course reimbursement upon satisfactory completion of the programme.

Eligible in-service practitioners of the financial services industry could apply for reimbursement of 80% of the fees of eligible course(s) / seminar(s) / conference(s), subject to a maximum of HK\$7,000 (whichever is lower) upon satisfactory completion of a course / seminar / conference, over the three-year period of the Scheme.

Each individual may apply for reimbursement for more than one course(s) / seminar(s) / conference(s).

Reimbursement application together with the required documents, must be submitted within four months from the last day of the course / seminar / conference attended. Please refer to the WAM Pilot Programme website (Financial Incentive Scheme) for application details.