

## Milestones in promoting the development of Green Finance 積極參與推動綠色金融發展

### Highlights of the Green Finance Certification Scheme Launching Ceremony cum Seminar

「綠色金融認證計劃」開展儀式暨研討會花絮



▲ Group photo of the officiating guests, special guests, speakers, Governing Council members of HKQAA, Green Finance Certification Scheme Technical Committee members, and representatives of the organisations that have participated and planned to participate in the pilot programme, including Airport Authority Hong Kong, Modern Land (China) Co., Limited, MTR Corporation Limited, New World Development Company Limited, Swire Properties Limited and The Hong Kong and China Gas Company Limited.

主禮嘉賓、特別嘉賓、演講嘉賓、香港品質保證局董事局成員、「綠色金融認證計劃」技術委員會成員、參與及計劃參與先導計劃的機構，包括香港機場管理局、當代置業（中國）有限公司、香港鐵路有限公司、新世界發展有限公司、太古地產有限公司及香港中華煤氣有限公司合照。



▲ Mr James Henry Lau Jr, JP, Secretary for Financial Services and the Treasury (Left) and Mr Wong Kam-sing, GBS, JP, Secretary for the Environment (Right) delivered remarks in the ceremony.

財經事務及庫務局局長劉怡翔先生，太平紳士（左圖）及環境局局長黃錦星先生，GBS，太平紳士（右圖）於會上致辭。



▲ The ceremony gathered numerous experts and practitioners from the government departments, financial, business, academic and environment sectors. 是次活動吸引眾多政府、金融界、商界、學術界名人及環保專家聚首一堂，場面熱鬧。

Event Supporting Organisations 活動支持機構：(Organisations are listed in no particular order 排名不分先後)



YEAR OF 2018  
2018年

March 三月

February 二月



▲ Dr Ma Jun, Director General of the Green Finance Committee of the China Society for Finance and Banking (Right), visited HKQAA and met Dr Michael Lam, Chief Executive Officer of HKQAA (Left), to discuss the development of green finance in the Greater China Region.

中國金融學會綠色金融專業委員會主任馬駿博士（右）到訪本局，與本局總裁林寶興博士（左）交流大中華地區綠色金融的發展。

April 四月



▲ Signed MOU with the Authority of Qianhai to jointly promote green finance and green projects.

與前海管理局簽署《深港綠色金融合作備忘錄》，共同推動深港綠色金融和產業的發展。

May 五月



▲ Participated in the 2nd "Belt and Road" High-end Forum on Quality in Xian and introduced how to support the development of green finance industry standards in Hong Kong. 參與在西安舉行的第二屆「一帶一路」質量高端論壇，並分享如何支援香港發展綠色金融產業標準。

June 六月



本局副主席何志誠工程師（右一）參與「2018 綠色及社會責任債券原則年度會員大會及會議」討論環節。



▲ Published a research report on "Sustainable Financing in China's Greater Bay Area - Opportunities for Growth", with the support of the HSBC.

在滙豐的支持下，發表了《中國大灣區可持續發展融資——發展機遇》研究報告。

▲ Signed MOU with CECEP (Hong Kong) Investment Co., Ltd. to initiate strategic cooperation in green finance certification, green rating development, training, and Environmental, Social and Governance (ESG). 與中國節能環保（香港）投資有限公司簽署合作備忘錄，啟動雙方在綠色金融認證、綠色評級發展、培訓，以及環境、社會及企業管治框架四大領域的策略性合作。

July 七月

▲ Signed MOU with Bureau of Financial Affairs, Huadu, Guangzhou to expand and strengthen the cooperation in green finance development.

與廣州花都區金融工作局簽署合作備忘錄，拓展及加強在綠色金融發展方面的合作。

▲ Signed MOU with Shanghai Zhixin Low-Carbon Technology Research Center to support corporates capitalising on opportunities in the national and market development of green finance.

與上海置信低碳技術研究中心簽署合作備忘錄，支持企業把握綠色金融發展機遇。

# Green Finance Certification Scheme 香港品質保證局 綠色金融認證計劃

Enhancing Credibility and Stakeholder Confidence in Green Finance  
提升綠色金融公信力及持分者信心





Ample Experience in  
Fostering Sustainable Economy

In recent years, sustainability has become one of the key forces driving organisations towards a prolonged success. To support and encourage them to walk the talk, HKQAA has developed diverse services and obtained ample experience in the field of social responsibility, environmental protection, sustainability and responsible investment:

- With the initial support of **The Hongkong and Shanghai Banking Corporation Limited (HSBC)**, HKQAA introduced the CSR Index to provide quantitative metrics to measure organisations' maturity level in practicing their social responsibilities in 2008;
- HKQAA has been **the only Hong Kong organisation accredited as the Designated Operational Entity (DOE)** by the Executive Board of the Clean Development Mechanism (CDM) under the *United Nations Framework Convention on Climate Change* (UNFCCC) to deliver CDM validation and verification services since 2011;
- HKQAA has been providing assessment and rating services on companies' sustainability performance for the **Hang Seng Corporate Sustainability Index Series** since 2014;
- Since 2017, our expert has been nominated by the **Innovation and Technology Commission of the HKSAR Government** and the **China National Institute of Standardization** respectively to directly take part in the technical committee of ISO/TC 207/SC 4 and the working group of **ISO/TC 207/SC 4/ WG 7** to develop **ISO 14030 Green bonds – Environmental performance of nominated projects and assets**;
- Since 2018, HKQAA has been admitted as an **Observer of the Green Bond Principles (GBP) under the International Capital Market Association (ICMA)**, taking part in the development of international standards on Green Finance;
- Since 2018, HKQAA has become an **approved verifier under the Climate Bonds Standard**.

In Line with Government Policies  
to Promote Green Finance

Green Finance is a strategic tool for promoting the economic development and regional cooperation in the Guangdong-Hong Kong-Macao Big Bay Area and along the Belt and Road. In keeping with the development of the national Green Finance policy and being supported by the Hong Kong SAR Government, HKQAA has taken the lead to develop the **Green Finance Certification Scheme** to provide third-party conformity assessments for Green Finance issuers since 2016.

豐富經驗與知識 促進可持續經濟

近年，商界已視可持續發展為取得長期成功的主要動力。為協助及鼓勵企業付諸實踐，本局在推動社會責任、環境保護、可持續發展及責任投資等領域，開發多元化服務，並累積了豐富的經驗和知識：

- 於2008 年在香港上海滙豐銀行有限公司的初始支持下，研發**社會責任指數**，提供一個定量的表現指標來衡量企業在實踐社會責任的成熟程度；
- 於2011年獲《聯合國氣候變化框架公約》(United Nations Framework Convention on Climate Change，UNFCCC) 下的清潔發展機制 (Clean Development Mechanism，CDM) 執行理事會認可，成為香港唯一提供 CDM 審定及核查服務的**指定經營實體** (Designated Operational Entity，DOE) ；
- 自2014 年起，為「恒生可持續發展企業指數系列」就公司的可持續發展表現提供評級服務；
- 自2017 年起，本局專家分別獲**香港特區政府創新科技署及中國標準研究院**提名加入 ISO/ TC 207/SC 4 技術委員會及其工作小組 (ISO/ TC 207/SC4/WG 7)，直接參與制定《**ISO 14030 綠色債券 — 指定項目及資產的環境表現**》；
- 自2018 年起，成為**國際資本市場協會 (International Capital Market Association，ICMA)**《**綠色債券原則**》(Green Bond Principles，GBP) 的觀察員；
- 自2018 年起，成為**氣候債券標準 (Climate Bonds Standard) 委員會授權的核查機構**。

配合政府政策 推動綠色金融

綠色金融是國家推動粵港澳大灣區和「一帶一路」經濟發展和區域合作的重要策略工具。為了配合國家綠色金融政策的發展，在得到香港特區政府的推動和支持下，本局於2016 年開展「**綠色金融認證計劃**」的研發工作，為綠色金融發行者提供第三方認證服務。

This scheme aims at helping the financial sector to explore new commercial and business opportunities in the Green Finance market, promoting a common understanding of Green Finance, and fostering environmentally-friendly investments in Hong Kong, the Mainland and worldwide. Our ultimate goal is to facilitate the development of green finance and green industry, thereby paving the way to a greener and more sustainable future.

Reference to International Standards

HKQAA has developed the Scheme with reference to a number of widely recognised international and national standards on Green Finance, including:

- Clean Development Mechanism under the United Nations Framework Convention on Climate Change;
- Green Bond Principles of the International Capital Market Association;
- The People's Bank of China Announcement No. 39 and its Annex – Green Bond Endorsed Project Catalogue; and
- ISO 26000:2010 Guidance on Social Responsibility, among others.

Benefits

- Enhances the credibility of, and stakeholder confidence in, green financial instruments via independent, impartial third-party conformity assessments;
- Reaches out to potential Green Finance investors with the aid of the Green Finance Certificate and Certification Mark;
- Demonstrates issuers' efforts to promote environmentally friendly investment; and
- Promotes a common understanding of Green Finance.

Types of Certification

Two types of certificate: the Green Finance of Pre-issuance Stage Certificate and the Green Finance of Post-issuance Stage Certificate.

Pre-issuance Stage Certificate
<ul style="list-style-type: none"><li>For issuers seeking certification <b>before issuing Green Finance products</b></li><li><b>As-at certificate</b></li><li>Requires <b>validation of the adequacy of the Environmental Method Statement</b> in producing positive environmental effects</li></ul>
Post-issuance Stage Certificate
<ul style="list-style-type: none"><li>For issuers seeking certification <b>after issuing Green Finance products</b></li><li>Remains valid <b>until the debt instrument's maturity date</b></li><li>Requires <b>verification of the continuous implementation and effectiveness of the Environmental Method Statement</b> regarding the proposed positive environmental effects</li></ul>

此認證計劃旨在協助金融界發掘綠色金融市場新機遇，推廣綠色金融的理念，以及在香港、內地及全球推動環境友善投資，促進綠色金融及產業的發展，創造可持續的未來。

參考國際標準 制定認證計劃

本局在制定綠色金融認證計劃的過程中，參考了多個國際及國家標準，包括：

- 《聯合國氣候變化框架公約》的清潔發展機制；
- 國際資本市場協會的《綠色債券原則》；
- 中國人民銀行公告〔2015〕第39號及其附件《綠色債券支持項目目錄》；
- ISO 26000：2010 社會責任指南等。

好處

- 透過獨立公正的第三方認證服務，提升綠色金融的公信力及持分者信心；
- 透過綠色金融證書及認證標誌，協助發行者吸引更多潛在的綠色金融投資者；
- 展示發行者推動綠色投資的決心；
- 推廣綠色金融的理念。

認證種類

此計劃提供兩種認證 — 綠色金融發行前證書及綠色金融發行後證書，詳情如下：

綠色金融發行前證書
<ul style="list-style-type: none"><li>適用於<b>發行綠色金融前</b>申請</li><li>有效期為<b>認證當日</b></li><li>審定申請者提出的環境方法聲明的充分性，判斷綠色項目能否帶來正面的環境影響</li></ul>
綠色金融發行後證書
<ul style="list-style-type: none"><li>適用於<b>發行綠色金融後</b>申請</li><li>有效期至<b>債務工具的到期日</b></li><li>根據申請者提出的環境方法聲明，<b>每年核查實施綠色項目的進度和有效性</b></li></ul>



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