

HKQAA International Symposium "Sustainable Finance and Climate Resilience•2022" Successfully Held

Promote Sustainability and Compliance Strategies to Manage Risks and Seize Opportunities

(1 December 2022, Hong Kong) Hong Kong Quality Assurance Agency ("HKQAA") hosted its annual international symposium today at Island Shangri-La, Hong Kong. Themed "Sustainable Finance and Climate Resilience", the event had the **Hon John KC Lee, GBM, SBS, PDSM, PMSM, Chief Executive of the Hong Kong Special Administrative Region**, as the officiating guest, and brought together international and regional policymakers and business leaders to share valuable insights.

Sustainable finance and climate resilience have become important strategies and policies for governments worldwide, and are highly valued by the commercial and financial sectors. As one of the leading assessment organisations and pioneers in promoting sustainable development in the region, HKQAA invited leaders, experts and academics from the Greater Bay Area and overseas to participate in this international exchange event, where regulatory trends and the latest development in the capital market were discussed to echo the United Nations and Government strategies.

Gather international elites and leaders to explore regulatory and development trends

Respected speakers of this year's Symposium include Mr Lui Tim Leung, Tim, SBS, JP, Chairman, Securities and Futures Commission; Ms Teresa Ko, BBS, JP, Co-vice Chair, IFRS Foundation; Dr Jeffrey Hales, Board Member, International Sustainability Standards Board; Mr Andrew Ferguson, Chief Executive Officer, Asia Pacific Loan Market Association; Mr Shiqing Tong, Deputy Director General, Guangdong Municipal Financial Regulatory Bureau; and Dr Nina Seega, Director, Research for Sustainable Finance, University of Cambridge Institute for Sustainability Leadership. Additionally, Ms Glenda So, Co-Head of Markets, Hong Kong Exchanges and Clearing Limited, shed light on the development of the carbon trade market.

The symposium was held in a hybrid format to meet the needs of the local and overseas audience, attracting online and offline participation of over 1,000 senior executives from government departments, regulatory organisations, Chinese and foreign banking and financial institutions, business associations, academic institutions and green groups. The event was also attended by the consul generals and consul of trade from some Asian countries in Hong Kong.

Ir C.S. Ho, Chairman of the HKQAA, said, "We believe the Symposium will inspire organisations to think ahead, helping them develop strategies to fulfil regulatory requirements, address climate and sustainability challenges, and grasp opportunities in commercial and financial markets. Our ultimate goal is to facilitate a collective effort which will move us towards achieving the Sustainable Development Goals."

Develop new initiatives to align with Government climate change strategies

HKQAA has been striving to help the commercial and financial sectors to enhance sustainability performance, aiming to shape a sustainable business and investment environment. Apart from participating in the development of ISO international standards for green and sustainable finance, it launched verification services for sustainability reports and greenhouse gas emissions, assessment and rating services for the Hang Seng Corporate Sustainability Index Series, and rolled out the Green and Sustainable Finance Certification Scheme and Net-Zero Certificate Scheme to actively support Government policies.

HKQAA International Symposium 2022 Successfully Held 1st December 2022

Ir Ho added, "Additionally, to support the promotion of the climate risk disclosure regulatory requirements set out by the Hong Kong Monetary Authority and the Securities and Futures Commission, HKQAA is now developing a series of new initiatives, which will assist financial institutions and organisations to prepare for the fulfilment of these requirements. We will also launch new services to facilitate and support carbon market development in Hong Kong, in alignment with the Government's strategy of strengthening Hong Kong as a regional green and sustainable finance hub."

Recognise green and sustainable finance issuer institutions and service providers

At the Symposium, the ceremony for the "Hong Kong Green and Sustainable Finance Awards 2022" was held. The Awards aims to encourage organisations to promote green and sustainable finance, carbon neutrality, climate risk disclosure and ESG disclosure for the sustainable development of businesses and society, and commend their efforts and contributions. This year, 58 companies, banks and financial service institutions from Hong Kong, Mainland China and overseas were honoured. For details, please refer to the awardee list in the appendix.

Dr Michael P. H. Lam, Chief Executive Officer of HKQAA, expected these organisations would play a leading role in encouraging more industry members to contribute to the betterment of the environment and society. In future, HKQAA will continue to provide solutions to the business and financial sectors and society, to help them meet new challenges and jointly build a sustainable future.

- End -

Photo Captions



The Hon John KC Lee, GBM, SBS, PDSM, PMSM, The Chief Executive of the HKSAR (middle); Ir C S Ho, Chairman of HKQAA (second from right); Mr Simon Wong Ka Wo, BBS, JP, Deputy Chairman of HKQAA (second from left); Sr Lam Kin Wing Eddie (first from right) and Dr Michael P H Lam, Chief Executive Officer of HKQAA (first from left) at the HKQAA International Symposium 2022



Ir C S Ho, Chairman of HKQAA, delivered the welcoming remarks



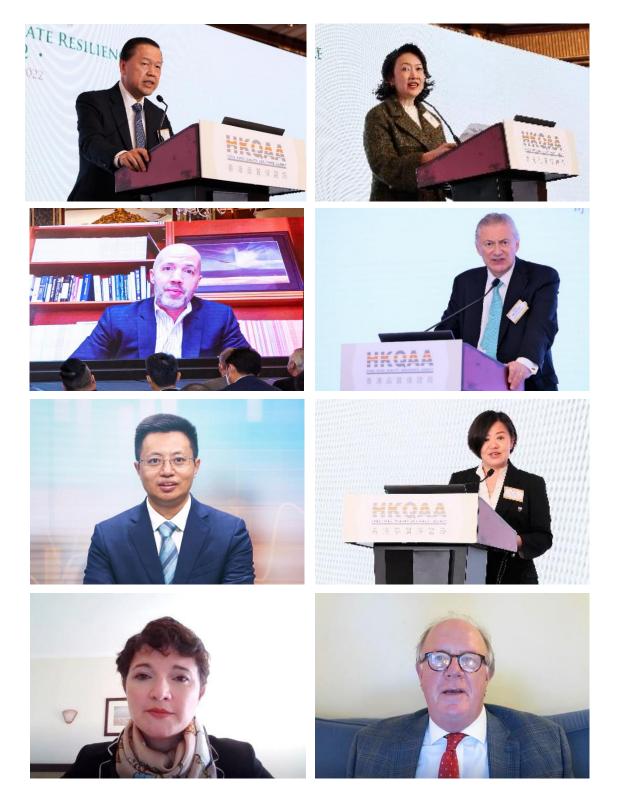
The Hon John KC Lee, GBM, SBS, PDSM, PMSM, The Chief Executive of the HKSAR, is the officiating guest.



Group photo with the HKQAA Governing Council members, Green and Sustainable Finance Certification Scheme Technical Committee member, guest speakers, consul generals and consuls of trade from Asian countries in Hong Kong, as well as leaders of regulatory organisations and renowned associations



Group photo with green and sustainable finance issuers, banks and financial institutions.



Top, Left to right: Mr Lui Tim Leung, Tim, SBS, JP, Chairman of Securities and Futures Commission; Ms Teresa Ko, BBS, JP, Co-vice chair of IFRS Foundation, Corporate Partner and China Chairman of Freshfields Bruckhaus Deringer; Dr Jeffrey Hales, Board Member, International Sustainability Standards Board; Former Chair, Sustainability Accounting Standards Board; Charles T. Zlatkovich Centennial Professor of Accounting, University of Texas at Austin; Mr Andrew Ferguson, Chief Executive Officer of Asia Pacific Loan Market Association; Mr Shiqing Tong, Deputy Director General, Guangdong Municipal Financial Regulatory Bureau; Ms Glenda So, Co-Head of Markets, Hong Kong Exchanges and Clearing Limited (HKEX), Dr Nina Seega, Director, Research for Sustainable Finance, University of Cambridge Institute for Sustainability Leadership; Dr Nigel Croft, Associate Technical Director of HKQAA; Chair, ISO Joint Technical Coordination Group for Management System Standards, Member of ISO Strategic Advisory Group on ESG, delivered speeches and presentations in the Symposium

About HKQAA

Established in 1989 as a non-profit public organisation by the Hong Kong Government, HKQAA is committed to promoting good management practices. Through knowledge sharing and technology transfer, HKQAA helps industries enhance their management performance. It also provides innovative and professional services to support enterprises and society to address future challenges and foster sustainable development. HKQAA is devoted to creating value for enterprises and making contributions to shaping a better environment and society. It has developed a wide range of pioneering services to meet the needs of industry and society. These services are in the areas of social responsibility, environmental protection, energy management, carbon neutrality, green and sustainable finance, elderly service and barrier free accessibility management.

As a leading assessment organisation in the region, HKQAA has been accredited by many national and international institutions. Its expert was nominated by the China National Institute of Standardization and the Innovation and Technology Commission of the HKSAR Government to take part in ISO technical committees developing ISO standards for green debt instruments and sustainable finance. HKQAA also has a representative on the National Standard's expert groups drafting the requirements for audit and certification in the fields of occupational health and safety and anti-bribery.

HKQAA is devoted to promoting sustainable development and responsible investment through conducting research. It published research reports on sustainable financing in China's Greater Bay Area and books on sustainable development, green finance and social responsibility. Please visit <u>http://www.hkqaa.org</u> for further information.

Appendix

HKQAA "Recognition Program for Organisations"

Hong Kong Green and Sustainable Finance Awards 2022

List of Organisation Awards for Green and Sustainable Finance(as of 29 November 2022)

Name of institutions	Awards
	Outstanding Award for Green and Sustainable Bond Issuer
	(Banking Industry)
	- Visionary Green Bond Framework
Agricultural Depty of Ching Limited	Outstanding Award for Green and Sustainable Loan Structuring
Agricultural Bank of China Limited	Advisor
Hong Kong Branch	(Chemical Pesticides and Seeds)
	- Visionary Sustainability-linked Loan Performance Metrics
	Pioneering Organisation in Climate Disclosure Planning
	Pioneering Organisation in ESG Disclosure Enhancement
	Outstanding Award for Green and Sustainable Bond Issuer
	(Infrastructure)
Airport Authority Hong Kong	- Largest Single Green Bond
	Pioneering Organisation in Climate Disclosure Planning
	Outstanding Award for Green and Sustainable Loan Structuring
	Advisor
	(Transition Trade Finance Service)
	- Visionary Green Transition Loan Framework
	Outstanding Award for Green and Sustainable Loan Facilitator
	(Greater Bay Area Enterprises)
	- Largest Amount of Sustainability-linked Loans
Bank of China (Hong Kong) Limited	Outstanding Award for Green and Sustainable Bond Lead Manager
	(Financial Investment Industry)
	- Largest Amount of Green Bonds
	Outstanding Award for Green and Sustainable Bond Facilitator
	(Financial Investment Industry)
	- Visionary Blue Bond Framework
	Pioneering Organisation in Climate Disclosure Planning
	Pioneering Organisation in ESG Disclosure Enhancement
	Outstanding Award for Green and Sustainable Loan Structuring
	Advisor
	(Property Management Industry)
	- Visionary Sustainability-linked Loan Performance Metrics
Bank of Communications (Hong Kong)	Outstanding Award for Green and Sustainable Loan Structuring
Limited	Advisor
	(Shipping Industry)
	- Visionary Green Loan Framework
	Outstanding Award for Green and Sustainable Loan Structuring
	Advisor

Name of institutions	Awards
	(Mining Industry)
	- Visionary Green Loan Framework
	Outstanding Award for Green and Sustainable Loan Structuring
	Advisor
	(Properties Investment Industry)
	- Visionary Sustainability-linked Loan Performance Metrics
	Outstanding Award for Green and Sustainable Bond Lead Manager
Barclays Bank PLC	(Public Utility)
-	- Largest Single Green Bond
	Outstanding Award for Green and Sustainable Loan Issuer
Brink's Cash Solutions (Hong Kong)	(Cash Management Solutions Industry)
Limited	- Visionary Green Loan Framework
	Pioneering Organisation in ESG Disclosure Enhancement
	Outstanding Award for Green and Sustainable Loan Structuring
	Advisor
Cathay United Bank Co., Limited	(Textile Industry)
	- Visionary Sustainability-linked Loan Performance Metrics
	Pioneering Organisation in Climate Disclosure Planning
	Outstanding Award for Green and Sustainable Bond Lead Manager
COD International Consists Limited	(Rail Transit Service)
CCB International Capital Limited	- Visionary Green Bond Framework
	Pioneering Organisation in ESG Disclosure Enhancement
	Outstanding Award for Green and Sustainable Bond Lead Manager
China CITIC Bank International Limited	(Local Government Financing Vehicle)
	- Visionary Sustainability Bond Framework
	Outstanding Award for Green and Sustainable Bond Lead Manager
	(Belt and Road Clean Energy Project Banking Service)
	- Largest Single Green Bond
	Outstanding Award for Green and Sustainable Loan Structuring
	Advisor
	(Integrated Medical Service)
China Construction Bank (Asia)	- Visionary Sustainability-linked and Social Loan Framework
Corporation Limited	Outstanding Award for Green and Sustainable Loan Facilitator
	(ESG Banking Service)
	- Greatest Number of Sustainability-linked and Social Loans
	Pioneering Organisation in Green and Sustainable Loan Service
	(ESG Banking Service)
	- Visionary Sustainability-linked and Social Loan Framework

Name of institutions	Awards
	Pioneering Organisation in ESG Disclosure Enhancement
	Outstanding Award for Green and Sustainable Loan Facilitator
	(Chemical Pesticides and Seeds)
China Everbright Bank Co., Ltd.,	- Visionary Sustainability-linked Loan Performance Metrics
Hong Kong Branch	Outstanding Award for Green and Sustainable Bond Lead Manager
	(Financial Industry)
	- Visionary Green Bond Framework
	Management Award for Net-Zero Contribution
China Forestry International Resource	(All Operations)
Company Limited	- Visionary Blueprint towards Net-Zero
China Forestry Group Corporation	Pioneering Organisation in ESG Disclosure Enhancement
	Outstanding Award for Green and Sustainable Loan Issuer
	(Public Utilities)
China Gas Holdings Limited	- Visionary Social Loan Framework
	Pioneering Organisation in Climate Disclosure Planning
	Outstanding Award for Green and Sustainable Bond Lead Manager
China International Capital Corporation	(Local Government Projects)
Hong Kong Securities Limited	- Visionary Blue and Sustainability Bond Framework
	Outstanding Award for Green and Sustainable Bond Lead Manager
China Minsheng Banking Corp., Ltd.,	(Banking Industry)
Hong Kong Branch	- Visionary Green Bond Framework
	Pioneering Organisation in Climate Disclosure Planning
	Outstanding Award for Green and Sustainable Loan Issuer
China Power International Development	(Integrated Power Energy Industry)
Limited	- Visionary Green Loan Framework
	Pioneering Organisation in ESG Disclosure Enhancement
	Outstanding Award for Green and Sustainable Loan Issuer
	(Basic Materials Industry)
China Risun Group Limited	- Visionary Sustainability-linked Loan Performance Metrics
	Pioneering Organisation in ESG Disclosure Enhancement
	Outstanding Award for Green and Sustainable Bond Lead Manager
CMB Wing Lung Bank Limited	(Greater Bay Area Corporate)
	- Visionary Green Bond Framework
	Outstanding Award for Green and Sustainable Bond Issuer
	(Advanced Material Industry)
CNGR Advanced Material Co., Ltd	- Visionary Green Bond Framework
	Pioneering Organisation in Climate Disclosure Planning
Coöperatieve Rabobank U.A.,	Outstanding Award for Green and Sustainable Loan Facilitator (Dairy
Hong Kong Branch	Industry) - Visionary Sustainability-linked Loan Performance Metrics

Name of institutions	Awards
	Pioneering Organisation in Climate Disclosure Planning
Crédit Agricole Corporate and Investment Bank	Outstanding Award for Green and Sustainable Loan Facilitator
	(Global Financing Service)
	- Greatest Number of Green and Sustainability-linked Loans
	Pioneering Organisation in Climate Disclosure Planning
	Outstanding Award for Green and Sustainable Loan Structuring
	Advisor
CTBC Bank Co., Ltd.	(Private Investment Industry)
	- Visionary Sustainability-linked Loan Performance Metrics
	Pioneering Organisation in ESG Disclosure Enhancement
	Outstanding Award for Green and Sustainable Loan Issuer
Encoder and the section of the bin on the initial	(Real Estate Industry)
Emperor International Holdings Limited	- Visionary Green Loan Framework
	Pioneering Organisation in ESG Disclosure Enhancement
	Outstanding Award for Green and Sustainable Bond Issuer
	(Clean Energy)
ENN Energy Holdings Limited	- Largest Single Green Bond
	Pioneering Organisation in ESG Disclosure Enhancement
	Outstanding Award for Green and Sustainable Loan Structuring
	Advisor
Fubon Bank (Hong Kong) Limited	(Renewable Energy Industry)
	- Visionary Green Loan Framework
	Pioneering Organisation in Climate Disclosure Planning
	Outstanding Award for Green and Sustainable Bond Lead Manager
Haitong International Securities Group	(Local State-owned Enterprises)
Limited	- Largest Amount of Green and Sustainability Bonds
	Pioneering Organisation in ESG Disclosure Enhancement
	Outstanding Award for Green and Sustainable Loan Structuring
	Advisor (Greater Bay Area Corporate)
	- Visionary Green Loan Framework
	Outstanding Award for Green and Sustainable Loan Structuring
	Advisor (Greater Bay Area Corporate)
	- Visionary Sustainability-linked Loan Performance Metrics
Hang Seng Bank	Outstanding Award for Green and Sustainable Loan Structuring
	Advisor (Manufacturing Industry)
	- Visionary Sustainability-linked Loan Performance Metrics
	Outstanding Award for Green and Sustainable Loan Facilitator
	(Renewable Energy Industry)

Name of institutions	Awards
	Pioneering Organisation in Climate Disclosure Planning
	Pioneering Organisation in ESG Disclosure Enhancement
	Outstanding Award for Green and Sustainable Loan Issuer
Hysan Development Company Limited	(Real Estate Industry)
	- Visionary Green Loan Framework
	Pioneering Organisation in ESG Disclosure Enhancement
	Outstanding Award for Green and Sustainable Bond Issuer
Industrial and Commercial Bank of China	(Banking Industry)
Limited	- Largest Amount of Carbon Neutrality Themed Green Bonds
	Pioneering Organisation in ESG Disclosure Enhancement
	Outstanding Award for Green and Sustainable Bond Issuer
Industrial and Commercial Bank of China	(Banking Industry)
Limited, Hong Kong Branch	- Largest Single Carbon Neutrality Themed Green Bond
	Pioneering Organisation in ESG Disclosure Enhancement
Industrial and Commercial Dank of China	Pioneering Organisation in Green and Sustainable Deposit Service
Industrial and Commercial Bank of China	(Banking Industry)
(Asia) Limited	- Visionary Green Deposit Framework
	Outstanding Award for Green and Sustainable Bond Lead Manager
	(Transportation Industry)
Industrial Dark Caulta Hang Kang	- Largest Amount of Green Bonds
Industrial Bank Co., Ltd. Hong Kong	Outstanding Award for Green and Sustainable Bond Issuer
Branch	(Hong Kong Commercial Bank CGT-aligned Financing Project)
	- Largest Single Green Bond
	Pioneering Organisation in Climate Disclosure Planning
	Outstanding Award for Green and Sustainable Loan Issuer
lov City Property Limited	(China Commercial Real Estate Industry)
Joy City Property Limited	- Visionary Green Loan Framework
	Pioneering Organisation in ESG Disclosure Enhancement
	Outstanding Award for Green and Sustainable Loan Issuer (Dairy
Junlebao Dairy Group Co., Ltd	Industry)
	- Visionary Sustainability-linked Loan Performance Metrics
	Outstanding Award for Green and Sustainable Loan Issuer
	(Printing & Communication Industry)
Leo Paper Group (Hong Kong) Limited	- Visionary Sustainability-linked Loan Performance Metrics
	Pioneering Organisation in Climate Disclosure Planning
	Outstanding Award for Green and Sustainable Loan Issuer
Mitsubishi HC Capital Management	(Financial Industry)
(China) Limited	- Visionary Sustainability-linked Loan Performance Metrics
	Pioneering Organisation in ESG Disclosure Enhancement

Name of institutions	Awards
	Outstanding Award for Green and Sustainable Loan Structuring
Natixis	Advisor
	(Agriculture & Food Industry)
	- Visionary Sustainability-linked Loan Performance Metrics
	Pioneering Organisation in Climate Disclosure Planning
	Outstanding Award for Green and Sustainable Loan Issuer
Oriental Fleet LNG 03 Limited	(Shipping Industry)
	- Visionary Green Loan Framework
	Outstanding Award for Green and Sustainable Loan Structuring
	Advisor
	(Real Estate Conglomerates)
	- Visionary Sustainability-linked Loan Performance Metrics
OCBC Bank	Outstanding Award for Green and Sustainable Loan Facilitator
	(Greater China Corporates - Energy Transition)
	- Visionary Green Loan Framework
	Pioneering Organisation in Climate Disclosure Planning
PetroChina International (Hong Kong) Corporation Limited	(Oil & Natural Gas) - Visionary Green Transition Loan Framework
	Outstanding Award for Green and Sustainable Bond Lead Manager
	(Quasi-government Corporation) - Largest Single Social Bond
	Outstanding Award for Green and Sustainable Loan Structuring
	Advisor
	(Culture & Museum)
	- Visionary Sustainability-linked Loan Performance Metrics
Standard Chartered Bank (Hong Kong)	Outstanding Award for Green and Sustainable Loan Structuring
	Advisor
Limited	(Private Equity)
	- Visionary Sustainability-linked Loan Performance Metrics
	Pioneering Organization in Green and Sustainable Finance Service
	(Financial Institutions)
	- Visionary Transition Finance Framework
	Pioneering Organisation in Climate Disclosure Planning
	Pioneering Organisation in ESG Disclosure Enhancement
Sumitomo Mitsui Banking Corporation	Outstanding Award for Green and Sustainable Loan Structuring
Hong Kong Branch	Advisor

Name of institutions	Awards
	(Public Sector)
	- Visionary Sustainability-linked Loan Performance Metrics
	Outstanding Award for Green and Sustainable Loan Issuer
	(Real Estate Investment Trust Industry)
Sunlight Real Estate Investment Trust	- Visionary Sustainability-linked Loan Performance Metrics
	Pioneering Organisation in ESG Disclosure Enhancement
	Outstanding Award for Green and Sustainable Loan Issuer
	(Chemical Pesticides and Seeds)
Syngenta Group (HK) Holdings	- Largest Single Sustainability-linked Loan
Company Limited	Outstanding Award for Green and Sustainable Loan Issuer
	(Chemical Pesticides and Seeds)
	- Visionary Sustainability-linked Loan Performance Metrics
	Outstanding Award for Green and Sustainable Loan Issuer
Taybang Taytila Croup Limited	(Textile Industry)
Texhong Textile Group Limited	- Visionary Sustainability-linked Loan Performance Metrics
	Pioneering Organisation in ESG Disclosure Enhancement
	Outstanding Award for Green and Sustainable Loan Facilitator
The Bank of East Asia, Limited	(Printing & Communication Industry)
	- Visionary Sustainability-linked Loan Performance Metrics
	Outstanding Award for Green and Sustainable Loan Structuring
	Advisor
The Hongkong and Shanghai Banking	(Medical & Healthcare Industry)
Corporation Limited	- Visionary Sustainability-linked Loan Performance Metrics
Corporation Limited	Pioneering Organisation in Green and Sustainable Lending Service
	(Transportation Financing)
	- Visionary Green Vehicle Themed Lending Product Framework
Townson Smart Energy Company Limited	Outstanding Award for Green and Sustainable Bond Issuer (Smart
Towngas Smart Energy Company Limited	Energy) - Largest Single Sustainability-linked Bond
The Hong Kong and China Gas Company Limited	Pioneering Organisation in ESG Disclosure Enhancement
	Outstanding Award for Green and Sustainable Loan Facilitator
	(Electronic Components Manufacturing Industry)
United Overseas Bank Limited Hong	- Visionary Green Loan Framework
Kong Branch	Outstanding Award for Green and Sustainable Loan Facilitator
	(Real Estate Industry)
	- Visionary Green Loan Framework
Vinda International Holdings Limited	Outstanding Award for Green and Sustainable Loan Issuer
	(Fast Moving Consumer Goods Industry)
	- Visionary Sustainability-linked Loan Framework

HKQAA International Symposium 2022 Successfully Held 1st December 2022

Name of institutions	Awards
	Pioneering Organisation in ESG Disclosure Enhancement
West Kowloon Cultural District Authority	Outstanding Award for Green and Sustainable Loan Issuer
	(Art & Cultural Project)
	- Visionary Sustainability-linked Loan Performance Metrics
	Outstanding Award for Green and Sustainable Loan Issuer
	(Real Estate Investment Trust Funding)
Yuexiu REIT Asset Management Limited	- Visionary Sustainability-linked Loan Performance Metrics
	Pioneering Organisation in Climate Disclosure Planning
	Outstanding Award for Green and Sustainable Loan Issuer
Yunnan Energy Investment (HK) Co.	(Renewable Energy Industry)
Limited	- Visionary Sustainability-linked Loan Performance Metrics
	Pioneering Organisation in ESG Disclosure Enhancement
Zhongyu Energy Holdings Limited	Outstanding Award for Green and Sustainable Loan Issuer
	(Integrated Energy Service)
	- Visionary Sustainability-linked Loan Performance Metrics
	Pioneering Organisation in ESG Disclosure Enhancement
	Outstanding Award for Green and Sustainable Loan Issuer
Zijin International Capital Company	(Mining Industry)
Limited	- Visionary Green Loan Framework
Zijin Mining Group Company Limited	Pioneering Organisation in ESG Disclosure Enhancement